

Customer's Application Form

To The Chief Executive Officer

I wish to open a securities trading account with Globlex Securities Company Limited (Globlex). In order to obtain the necessary approval, I hereby provide Globlex with the following statement of details and the necessary document. Which I certify to be true and accurate.

Personal Data

Mr. Mrs. Miss other.....
 Name Date Of Birth...../...../..... Nationality..... Religion.....
 ID Card Alien Card Passport Government Official I.D. Card.No.....
 Country of Residency..... Issued Date/...../..... Expired Date/...../.....

Current Address

No..... Building..... Floor..... Soi..... Road.....
 Sub District..... District..... Province..... Postal Code.....
 Country (if not Thailand)..... Telephone No..... Mobile No..... Fax No.....

Registration Address

No..... Building..... Floor..... Soi..... Road.....
 Sub District..... District..... Province..... Postal Code.....
 Country (if not Thailand)..... Telephone No..... Mobile No.....

Employment

Politician / Relation (Please specify political position / relationship).....
 Gemstone , Jewelry , Gold Store/Precious Stone Business
 Antique Business Money Exchange Agency Money Transfer Service
 Casino and Gambling Entertainment Facility Business Weapons Factory/Weapons Agent
 Employment Agent Travel/Tour Agent/Real Estate Agent/Broker Non-Profit/Charity Organization
 Business Owner Employee Civil Servant
 Retired Housewife Others.....

Company Name Position
 No..... Building..... Floor..... Soi..... Road.....
 Sub District..... District..... Province..... Postal Code.....
 Country (if not Thailand)..... Telephone No..... Mobile No..... Fax No.....
 Regular Monthly Salary (Baht)..... Length Of Service.....
 Year Other monthly income (Baht) Sources of other income (Baht)

Mailing Address Current Address Registration Address Work Address

Status single Married Divorced Widow

Name of spouse..... Nationality..... ID Card.....
 Employment Business Owner Employee Civil Servant Retired Housewife Others

Company Name Position
 No..... Building..... Floor..... Soi..... Road.....
 Sub District..... District..... Province..... Postal Code.....
 Country (if not Thailand)..... Telephone No..... Mobile No.....

Contact person in case of emergency

Name-Surname..... Tel No..... Relationship
 Company Name Position

Internet Service

Request for sending order(s) thru Internet (please specify your email address below)

Cash Account Cash Balance Account

Please specify your information as already registered on SETTRADE.com

(1) Email Address

(2) User Name.....

(3) Reference No.....

Condition Of Dividend/Interest (from securities issuer) Pay to Bank.....Branch.....

Account Type Savings Current

Other Information

Introduced by : Name & Surname Relationship

Company Address Tel No.

Self-Disclosure (as required by SEC – Know your Customer/Customer Due Diligence)

Your beneficial owner

Myself Other person (Please specify name and relationship).....

Your Controlling Persons or your

Myself Other person (Please specify name and relationship).....

Your Financial Supporters

Myself Other person (Please specify name and relationship).....

The objective in stock investment

Trading Investment Saving Compensation Other.....

Source of Fund

Business Employment Investment Inheritance

Source of Fund-Country

Thai Other Country (Please specify).....

Investment Experience

None Experience.....Year(Please specify Broker)

Referenced Broker 1.Broker Name..... Account Type

2.Broker Name..... Account Type

Do you have disciplinary record in Money Laundering for the last 3 year since the date applied for opening this account?

None Yes (Please specify type of Disciplinary record).....Year.....

In signing this application, I accept and acknowledge that the above information is true in all respect and if there are and changes, I will immediately notify in writing. I understand and know all relevant laws, rules, regulations and notification of the SEC, the Office of the SEC, The Stock Exchange of Thailand, Thailand Future Exchange, Thailand Clearing House, relevant government authorities, and company in regards applicable to all trading activities and I agree that the company may inspect and disclose my information to the SEC, The Stock Exchange of Thailand, Thailand Future Exchange Thailand Clearing House, government authorities, and / or competent authorities



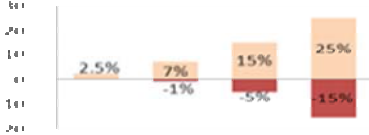
Sign Client Date

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Sign..... Marketing Officer

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Client Suitability Assessment :

<p>➤ Please Check <input checked="" type="checkbox"/> the answer that corresponds with yourself ➤ <u>1.answer for 1 question (except question no. 4)</u></p>	Score
<p>1. Age :</p> <p>(1) Over 55 years (2) 45-55 years (3) 35-44 years (4) Below 35 years</p>	
<p>2. At present you have financial burden and expenses, for instance, mortgage, auto finance, personal expenses and family expenses</p> <p>(1) Possesses assets lower than (2) Possesses assets equal liabilities (3) Possesses assets exceeding liabilities (4) Confident that savings or investment are sufficient for living after the retirement</p>	
<p>3. How is your current financial status</p> <p>(1) Possesses assets lower than (2) Possesses assets equal liabilities (3) Possesses assets exceeding liabilities (4) Confident that savings or investment are sufficient for living after the retirement</p>	
<p>4. Your prior investment experience in any group of these assets ***May Select more than 1 item below choose the highest scores***</p> <p>(1) Bank deposits (2) Government bond or Government bond fund (3) Debentures or Fixed income fund (4) Common shares or Equity fund or any other assets with high risks</p>	
<p>5. Period of your investment target</p> <p>(1) Not Exceeding 1 year (2) 1 to 3 years (3) 3 to 5 years (4) Exceeding 5 years</p>	
<p>6. Main objective of your investment</p> <p>(1) Principal must be secured and receive constant return, but may be lowered returns (2) Focuses on opportunity to receive constant return, but may risk losing partial part of the principal (3) Focuses on opportunity to receive higher return, but may risk losing major part of the principal (4) Focuses on highest return in the long term, but may risk losing major part of the principal</p>	
<p>7. When consider the compensation of investment groups that may take ,which group do you prefer to invest the most</p>  <p>(1) Investment Group 1 has an opportunity to receive compensation of 2.5% without incurring any losses. (2) Investment Group 2 has an opportunity to receive highest compensation of 7% but may incur some losses up to 1% (3) Investment Group 3 has an opportunity to receive highest compensation of 15% but may incur some losses up to 5% (4) Investment Group 4 has an opportunity to receive highest compensation of 25% but may incur some losses up to 15%</p>	
<p>8. If you choose to invest in assets that have the opportunity to receive high compensation, but may also incur substantial losses, How do you feel</p> <p>(1) Worry and panic , fear of losses (2) Feel uncomfortable , but understandable (3) Understand and accept volatility (4) Not worry regarding high losses and hope to receive higher compensation</p>	
<p>9. You feel worried/unacceptable when the value of your investment changes (lower) at what proportion</p> <p>(1) 5% or lower (2) Exceeding 5-10% (3) Exceeding 10-20% (4) Higher than 20%</p>	
<p>10. If you invested Baht 100,000 last year and you discovered that your investment is lowered to Baht 85,000 this year, how would you feel</p> <p>(1) Frightened and need to sell the rest of investments (2) Worried and will make some adjustment to the investment by investing in low risk assets (3) Be patient and wait for the investment to yield some compensation in the future (4) Confidence because it is long-term investment and will increase investment in similar pattern in order to average the cost</p>	
<p>11. Through successful derivatives and Structured Note investment, you will be entitled to receive high return, but if the investment had failed, you may lose all your investment and may have to reimburse parts of the capital. Can you accept this</p> <p>(A) Not acceptable (B) Slightly acceptable (C) Acceptable</p>	
<p>12. In addition to investment risks. Could you accept foreign exchange risks</p> <p>(A) Not acceptable (B) Slightly acceptable (C) Acceptable</p>	
<p>Questionnaire for Customer Risk Profile Assessment : Please and up the scores form question 1-10 the score is number of answer that you choose</p> <p style="text-align: right;"><i>Total Score</i></p>	

Suitability Test :

- Client agrees to provide information to securities company's officer in order to prepare Suitability Test of Client and Client also acknowledges that the Client provided answers to the questionnaire for the benefit of acknowledging his/her acceptable investment risks.
- Client's investment risk level is assessed based on the information clarified by himself/ herself and was given to securities company's officer. In this regard, this does not demonstrate that the securities company accepts the accuracy, completeness or credibility of the information by the Client as well as the results of such assessment.
- After securities company's officer completed the client's investment risk level assessment (Suitability Assessment) and informed the Client his/her assessment result (which was assessed from the Client's information given to securities company's officer), it shall be deemed that the Client has acknowledged his/her investment risk level.
- Client should carefully and thoroughly study relevant investment information (including warnings related to investments and investment risks as well as requests investment advice from investor contact (marketing officer) who is eligible to provide investment recommendations to clients.) conjunction with a study conducted on the investment risk assessment result in order to support the decision making when investing in securities, derivatives, financial instruments or other types of investments that are suitable to Client prior to making investment decision. In this regard, information relevant to investment or other financial instruments received from securities company's representatives (if any) is considered a partial factor to assist the Client during decision making process.
- Decision making on investment depends on client's own decision which is non-binding with investment assessment result and may not be consistent with investment risk level received under this assessment form. The Client also agrees to bear (accept) risk arising from investment. In case that Client decided to invest in assets with higher risk level as compared to investment risk level attained from the assessment result, it shall be deemed that the Client agrees to accept such investment risks. The Client agrees that his/her investments may not be consistent with the assessment result and may be uncertain and is/are different from the assessment result.
- Securities company, management including employee, and company's officer shall not be liable to, obligated to or be in charge of any damages arising from the Client's investment.

The securities company reserves the right to amend, edit or make changes to suitability test form, assessment result and other related information without prior notice. Suitability Assessment, any related action and related information are being used for providing service to investors in Thailand only. The Client has read and understood the above warnings and related information and the Client understand and agree to abide by such warnings and related agreement. The Customer shall provide accurate, up-to-date and complete information upon the business operator request, and information obtained from the customer can affect the service rendered to or any advices given to each customer.

Assessment result and Basic Asset Allocation		
Total Score	Type	Suitable Financial Instruments
< 15 points	Low-risk investor	Debt instruments / Equity instruments(small portion) / Mutual fund with risk level 1
15-21 points	Medium-to-low risk investor	Debt instruments / Equity instruments (partial portion) / Derivatives (small portion) / Mutual fund with risk level 1-4
22-29 points	Medium-to-high risk investor	Debt instruments / Equity instruments (partial portion) / Derivatives (small portion) / Mutual fund with risk level 1-5
30-36 points	High-risk investor	Debt instruments / Equity instruments (medium portion) / Derivatives (partial portion) / Mutual fund with risk level 1-7
≥ 37 points	Very high-risk investor	Debt instruments / Equity instruments (substantial portion) / Derivatives (partial portion) / Mutual fund with risk level 1-8

Sample of Recommendations pertaining to Basic Asset Allocation					
Types of Investor	Investment Proportion				
	Deposits and Short-term Debts Instruments	Government Sector Debt Instruments Having maturity Exceeding 1 Year	Private Sector Debts Instruments	Equity	Alternative* Investment
Low Risk	>60%		<20%	<10%	<5%
Low to Medium Risk	<20%	<70%	<20%	<20%	<10%
Medium to High Risk	<10%	<60%	<30%	<30%	<10%
High Risk	<10%	<40%	<40%	<40%	<20%
Very High Risk	<5%	<30%	<60%	<60%	<30%

Commodities and Derivatives included				
Sample of Recommendations Pertaining to Basic Asset Allocation				
Risk profile	Risk Levels	Types	Types of Invested	Investment Risk Tolerance and Suitable Type of Financial Instrument
Low Risk	1	Local Money Market Fund	- Low-risk investor This type of investor has small tolerance for investment risk. You require an investment return slightly higher than bank deposit rates and aim to invest over the short term	- You are suitable to invest in ● Debt instrument ● Equity instrument (Small portion)
Low to Medium Risk	2 3 4	Money Market Fund Government Bond Fund Fixed Income Fund	- Medium-to-moderate-low-risk investor This type of investor has rather small investment risk tolerance. You emphasized on capital protection and aim for regular income generated from investment.	- You are suitable to invest in ● Debt instrument ● Equity instrument (Partialportion)
Medium to High Risk	5	Balanced Fund	- Medium-to-moderate-high-risk investor This type of investor is able to accept investment loss occasionally.	- You are suitable to invest in ● Debt instrument ● Equity instrument (Partialportion)
High Risk	6 7	Equity Fund Sector Fund	- High-risk investor This type of investor is able to accept high investment risk high market volatility and can accept investment loss with an aim for investment value grow over the long term.	- You are suitable to invest in ● Debt instrument ● Equity instrument (quite a large portion) ● Derivatives (Partial portion)
Very High Risk	8	Mutual Fund that invests in alternative investment	- A very-high-risk investor This type of investor is keen for opportunities to generate a high return and is able to accept substantial risk and can accept quite high investment loss.	- You are suitable to invest in ● Debt instrument ● Equity instrument ● Derivatives (Partial portion)



Sign Client Date

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Sign..... Marketing Officer

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ชื่อลูกค้า เลขที่บัญชี วันที่

<p>1. การลงนามของลูกค้า</p>	<p>1.1 ลูกค้าได้ลงนามในแบบคำขอเปิดบัญชีและสัญญาต่อหน้าท่านหรือบุคคลอื่นที่ได้รับมอบหมายจากบริษัท หรือไม่</p> <p>1.2 กรณี 1.1 ตอบ “ไม่ใช่” ลูกค้าเข้าข่ายดังต่อไปนี้</p> <ul style="list-style-type: none"> <input type="radio"/> ลูกค้าอาศัยอยู่ต่างประเทศ <input type="radio"/> ลูกค้าเป็นผู้ที่มีชื่อเสียงและเป็นที่รู้จักกันทั่วไป <input type="radio"/> ลูกค้าเปิดบัญชีและซื้อขายทางอินเทอร์เน็ต <p>1.3 กรณีไม่ใช่ทั้งข้อ 1.1 หรือ 1.2 ท่านมีการดำเนินการอย่างใดอย่างหนึ่ง ดังนี้ หรือไม่ (ดำเนินการได้มากกว่า 1 อย่าง)</p> <ul style="list-style-type: none"> <input type="radio"/> ให้สถาบันทางการเงินอื่นดำเนินการพบลูกค้าแทน (โดยความยินยอมของลูกค้า) <input type="radio"/> ระบุชื่อสถาบันการเงิน <input type="radio"/> ติดต่อกับลูกค้าทางโทรศัพท์ <input type="radio"/> ติดต่อหรือสืบทอดไปยังที่อยู่ของสำนักงาน หรือสถานที่ติดต่อที่ลูกค้าได้แจ้งไว้ <input type="radio"/> สอบทานกับบุคคลที่ 3 ได้แก่ <input type="radio"/> ลูกค้าวางหลักประกันเป็นเช็คของลูกค้า หรือทำ ATS <input type="radio"/> ให้ลูกค้าทำ Online Questionnaires <input type="radio"/> อื่นๆ (ระบุ) 	<p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p>
<p>2. การระบุข้อมูลในแบบคำขอเปิดบัญชี</p>	<p>2.1 ลูกค้าได้กรอกรายละเอียดในเรื่องดังต่อไปนี้ครบถ้วน หรือไม่</p> <ul style="list-style-type: none"> • ชื่อบุคคลธรรมดาเป็นเจ้าของบัญชีที่แท้จริง • ชื่อบุคคลธรรมดาที่เป็นผู้ควบคุมการทำธุรกรรมในบัญชี • ข้อมูลเกี่ยวกับอาชีพและสถานที่ทำงาน • วัตถุประสงค์ในการทำธุรกรรม • ประสบการณ์ในการทำธุรกรรม • ประวัติในการทำธุรกรรม • ประวัติการกระทำผิดกฎหมายฟอกเงินในช่วง 3 ปีที่ผ่านมา นับตั้งแต่วันที่ขอเปิดบัญชี <p>2.2 กรณีลูกค้าไม่กรอกรายละเอียดข้างต้น ท่านได้สอบถามหรือตรวจสอบข้อมูลจากแหล่งที่เชื่อถือได้ หรือไม่</p> <ul style="list-style-type: none"> • ประวัติการกระทำผิดกฎหมายฟอกเงินในช่วง 3 ปีที่ผ่านมา • ประวัติการรายงานธุรกรรมที่มีเหตุอันควรสงสัย • ความสัมพันธ์ของผู้รับมอบอำนาจกับเจ้าของบัญชี (ถ้ามี – ระบุความสัมพันธ์) • บุคคลที่ได้รับผลประโยชน์ในการทำธุรกรรมในทอดสุดท้าย • บุคคลที่เป็นผู้ควบคุมการทำธุรกรรมในบัญชี คือ • บุคคลที่เป็นเจ้าของบัญชีที่แท้จริง คือ 	<p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> มี <input type="radio"/> ไม่มี</p> <p><input type="radio"/> มี <input type="radio"/> ไม่มี</p> <p><input type="radio"/> มี <input type="radio"/> ไม่มี</p> <p><input type="radio"/> มี <input type="radio"/> ไม่มี</p> <p><input type="radio"/> มี <input type="radio"/> ไม่มี</p> <p><input type="radio"/> มี <input type="radio"/> ไม่มี</p>
<p>3. ความสัมพันธ์ของผู้รับมอบอำนาจกับผู้มอบ</p>	<p><input type="radio"/> มีผู้รับมอบอำนาจ ระบุความสัมพันธ์</p> <p><input type="radio"/> ไม่มี</p>	<p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p>
<p>4. การตรวจสอบข้อมูลอื่น</p>	<p>โปรดตรวจสอบหรือสอบถามข้อมูลดังต่อไปนี้</p> <p>4.1 ลูกค้าและคู่สมรส เป็นนักการเมือง (กรุณาระบุตำแหน่งทางการเมือง/ ความสัมพันธ์ที่เกี่ยวข้อง)</p> <p>4.2 ลูกค้ามีแหล่งเงิน ถิ่นที่อยู่อื่น สถานที่ติดต่อ หรือจัดตั้งในเขตดินแดนหรือประเทศที่ไม่มีมาตรการ ไม่ได้ประยุกต์ใช้ข้อแนะนำของ Financial Action Task Force on Money Laundering – FATF หรือไม่ให้ความร่วมมือในการกำหนดมาตรการทางการเงิน เกี่ยวกับการป้องกันและปราบปรามการฟอกเงิน หรือไม่ ถ้าใช่ กรุณาระบุรายละเอียด</p> <p>4.3 ลูกค้ามีอาชีพหรือธุรกิจในกลุ่มที่มีความเสี่ยงสูง (ตามประเภทธุรกิจในรูปแบบคำขอ) หรือไม่ ถ้าใช่ กรุณาระบุรายละเอียด</p>	<p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p>

5. การตรวจสอบเพิ่มเติม	<p>ท่านได้ดำเนินการเพิ่มเติม ดังต่อไปนี้</p> <p>5.1 ขอเอกสารหลักฐานการยืนยันตัวตนและที่อยู่ของลูกค้าเพิ่มเติม ได้แก่</p> <p>5.2 สอบทานข้อมูลลูกค้ากับแหล่งอ้างอิงที่เชื่อถือได้ โดยพบว่า</p> <p>5.3 ขอสำเนาบัตรประชาชนของผู้รับประโยชน์ และ/หรือผู้มีอำนาจควบคุม</p> <p>5.4 ขอข้อมูลเอกสารที่เกี่ยวกับที่มาของเงิน</p> <p>5.5 ได้ตรวจสอบเอกสารตัวจริงจากลูกค้า</p>	<p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p>
6. แหล่งที่มาของทรัพย์สิน	<p>แหล่งที่มาของทรัพย์สินของลูกค้า (เลือกได้มากกว่า 1 ข้อ) และมูลค่าทรัพย์สินสุทธิโดยประมาณ</p> <p><input type="radio"/> ธุรกิจส่วนตัว <input type="radio"/> รายได้จากการจ้างงาน <input type="radio"/> การลงทุน</p> <p><input type="radio"/> มรดก <input type="radio"/> อื่นๆ กรุณาระบุ</p>	
7. การตรวจสอบข้อมูลลูกค้า	<p>7.1 ลูกค้าต้องการเปิดบัญชีในนามบุคคลอื่น</p> <p>7.2 ลูกค้าฝากหุ้นหรือรับมอบในนามบุคคลอื่น</p> <p>7.3 ลูกค้าพยายามหลีกเลี่ยงการมาแสดงตัว</p> <p>7.4 ลูกค้าพยายามหลีกเลี่ยงการทำธุรกรรมผ่านระบบบัญชีธนาคาร</p> <p>7.5 ลูกค้าต้องการชำระเงินผ่านบัญชีบุคคลอื่น</p> <p>7.6 ลูกค้ามีความสัมพันธ์กับบุคคลที่เกี่ยวข้องกับการฟอกเงิน</p> <p>7.7 ลูกค้าสนใจเรื่องการฟอกเงินเป็นพิเศษ</p> <p>7.8 ลูกค้าให้ความร่วมมือในการจัดส่งเอกสารการเปิดบัญชี</p> <p>7.9 ลูกค้ายื่นเอกสารการเปิดบัญชีครบถ้วน</p> <p>7.10 บริษัทพิสูจน์ได้ว่าเป็นลูกค้าที่รู้จักกันทั่วไป</p> <p>7.11 ลูกค้าไม่ให้ความร่วมมือในการจัดส่งเอกสารประกอบการทำธุรกรรมต่างๆ</p> <p>7.12 ลูกค้าไม่ให้ความร่วมมือในการจัดส่งเอกสารตามที่มีการร้องขอเป็นครั้งคราว</p> <p>7.13 ลูกค้ายื่นเอกสารที่ไม่ได้รับการรับรอง</p> <p>7.14 ลูกค้าเป็นบุคคลที่มีส่วนเกี่ยวข้องกับการกระทำตามความผิดมูลฐานทั้งภายในประเทศและ/หรือ ต่างประเทศตามกฎหมายฟอกเงินของประเทศไทย</p>	<p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p>
	<p>7.15 ลูกค้าขอทราบเกี่ยวกับการรายงานธุรกรรมและมีเหตุอันควรสงสัยว่าลูกค้าต้องการหลีกเลี่ยงการถูกรายงาน</p> <p>7.16 ลูกค้าขอให้บริษัทหลักทรัพย์ไม่รายงานการทำธุรกรรมของลูกค้า</p>	<p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p> <p><input type="radio"/> ใช่ <input type="radio"/> ไม่ใช่</p>

ความเห็นของผู้แนะนำการลงทุน/เจ้าหน้าที่การตลาด

1. ตามเกณฑ์ KYC/CDD ลูกค้าอยู่ในกลุ่มที่มีความเสี่ยง

() 1. ต่ำ () 2. ปานกลาง () 3. ที่ต้องได้รับความสนใจเป็นพิเศษ (กรณีระบุ 1. หรือ 3. โปรดระบุข้อมูลสนับสนุนการเกณฑ์)

KYC/CDD

2. จัดกลุ่มบัญชีสำหรับ : สามี-ภรรยา / พี่-น้อง / เพื่อน / พ่อ-แม่ / ลูก /ญาติ หรือผู้รับมอบอำนาจ และ มีแหล่งเงินทุนเดียวกัน (ถ้ามี)

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3. ข้อมูลลูกค้าและความเห็นเพิ่มเติมโดยผู้แนะนำการลงทุน/เจ้าหน้าที่การตลาด

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ลงชื่อ..... ผู้แนะนำการลงทุน/เจ้าหน้าที่การตลาด

(.....)

Agreement on the Appointment of Securities Agent and/or Broker

Agreement executed at

Globlex Securities Company Limited
87/2 CRC Tower, All Seasons Place
8Th, 12Th Floor ,Wireless Road, Lumpini,
Pathumwan, Bangkok 10330,Thailand

Date of Agreement

This Agreement is made between

Here after referred to as "Client" on one part, and Globlex Securities Company Limited, hereafter referred to as the "Company" on the other part.

WHEREAS it is the Client wish to have the Company acts as his/her agent/broker in the purchase and/or Sale of securities on his/her behalf, both parties, therefore, have entered into an agreement as follows:

1. DEFINITION

"Securities" shall mean shares, debentures, preferential shares, dividend shares, investment units, warrants on shares/bonds/preferential shares or investment units, bonds, treasury bills, financial notes issued for the purpose of mobilizing funds from the public in general and shall include certificates to accept the assignment of shares, deeds in lieu of certificates of contractual rights or any other instrument of entitlement in the like manner and other securities as defined by the law.

"Stock Exchange" shall mean the Stock Exchange of Thailand and/or the Securities Trading Centre and/or the Securities Forward Trading Centre and/or other centers dealing with the sale/purchase of securities.

"Securities Depository Centre" shall mean the Securities Depository Centre (Thailand) Company Limited and/or any other entity, legal entity or organization which constitutes a centre that provides services pertaining to the acceptance and withdrawal of depository of securities including relevant services for the purpose of off-setting securities accounts.

"Client" shall include person(s) assigned by the Client either expressly or impliedly with the authority to undertake any action under this Agreement on his/her behalf.

"Purchase" shall also include securities subscription.

"S.E.C" shall mean the Securities and Exchange Commission and/or the Office of the Securities and Exchange Commission.

2. The Client agrees to appoint and assign the Company with the authority to act as his/her agent/broker, and the Company agrees to act and accept the assignment of authority to act as broker/agent in the purchase and/or sale of securities both in and out of the Stock Exchange on the Client's behalf. In this connection, the Client agrees to pay fees and/or commission to the Company in the manner prescribed by this Agreement and in accordance with the criteria and rates set by the Company as regulated by the Stock Exchange which includes duties/taxes, fees and any other expenses incurred as the result of such sale and/or purchase of securities as from the date of this Agreement onwards. However, the Company may revise the criteria and rates of fees and/or such commission without having to give the Client any prior notice.

In such appointment and assignment of authority, if a Power of Attorney has not been made separately by the Client in the form prescribed by the Company, it shall be construed that this Agreement constitutes such Power of Attorney which assigns the authority to the Company to act as the Client's agent and/or broker for the purchase and/or sale of such securities as well.

3. It is understood and accepted by the contractual parties that the sale/purchase of securities constitute order for the purchase and/or sale of securities in accordance with the name, category, kind, number and price required to be purchased and/or sold only, and that they are not intended to be specifically purchased from of sold to any specific entity as holders, or that such securities must have any specific features in any way. And, when the Company has to accept from or deliver securities to, purchaser(s), seller(s) or Client, it may use securities which have the same name, category or kind and the same number in lieu.

Sign Client

4. In placing an order for the purchase and/or sale of securities in general, contacts shall be made personally by Client with the Company's designated personnel as well as a purchase/sale order executed in the form prescribed by the Company which shall be signed in the presence of such staff member.

However, it is understood that for the order to purchase and/or sell securities, the Client may make contact by means of other communications equipment such as telephone, cable, telex, facsimile although such correspondence must be made with the Company's designated staff member. And, such order(s) will constitute the Client's rightful order once the staff member has recorded the said order or when s/he has, without any doubt, duly acknowledged the said order. On the other hand, the Client may also make contact by means of the Internet in which respect the Client agrees and accepts to be bound by the outcome of such order as if it had been made and signed in every respect by the Client in person. The Client further covenants as follows that:

a. In a case where s/he wishes to change and/or cancel the order to sell/purchase securities already given, such change and/or cancellation must be given before the sale/purchase of such securities had been accomplished and the Company's consent must also have been received for the deed.

b. The Client agrees to accept and be bound by transactions executed via the Internet as arranged and regulated by the Stock Exchange under every circumstances and further accepts and agrees to be bound by the outcome of such purchase and/or sale of securities as notified by the Company in the confirmation document for such transaction which will be signed by the Client in acknowledgement and confirmation of the results thereof in the form and details as prescribed by the Company, notwithstanding if the Company has made the purchase and/or sale of securities in the full number as instructed by the Client or not. If the Client fails to perform as aforesaid, it shall be construed that the Company's evidence of such securities transaction is correct. And, in any case of contest, a notification must be given immediately to the Company in writing.

5. Where the Client asks to use the services and the Company agrees to extend online securities transaction services, s/he agrees to be bound and to comply in every respect with rules and conditions governing online securities transaction set by the Company and further agrees to sign an agreement in the form and manner as required.

6. In placing an order for the purchase and/or sale of securities, the Client reiterates and acknowledges hereby that the Company is not under any commitment to always accept the Client's order to purchase and/or sell securities the matter of which shall rest solely at the Company's discretion. Nor will the Company be under any commitment to give any explanation to the Client nor be subjected to any responsibility in any way where any purchase and/or sale of securities cannot be accomplished or the full number of securities cannot be achieved in pursuance with the Client's order.

The order to purchase and/or sell securities, no matter on which occasion or for which transaction, will be made entirely as the result of the Client's own decision. Any information/data or advice concerning securities transaction, whether given by the Company itself or by any of its employees will not be binding on the Company nor has the effect of placing the Company under any responsibility in any way.

7. In conducting securities transactions, the Client may purchase or sell securities at any given time within a line not exceeding Baht (.....) (and the Client agrees to accept that the Company may set up the line as well as any term and conditions for the Client's use in conducting such transactions either in the entirety and/or for any particular transaction for each day as it deems fit with due notification to the Client before executing such transaction).

The Client agrees, in this respect, that the Company may change such line, either more or less, without any notice.

8. The Client agrees that the Company may deposit securities it has purchased for the Client and/or those which the Client has placed with or delivered to the Company, with the Securities Depository Centre in which respect the Securities Depository Centre may assign such securities into its name and/or that of the Company.

If the Client wishes to withdraw securities deposited with the Securities Depository Centre under the preceding paragraph, a written notification shall be given to the Company so that it may file an application to withdraw them from the Securities Depository Centre for the purpose of delivering them to the Client in due course.

The Client agrees that the Company may take any action in any respect concerning his/her securities which the Company and that they have not been pledged with any person nor submitted as security with the Securities Depository Centre in order to comply with such criteria, rules and procedure governing depository of securities as prescribed by the Securities Depository Centre.

9. The Client gives an undertaking hereby that s/he is the lawful owner of securities which have been delivered to the

Sign Client

The Client gives an undertaking hereby that s/he is the lawful owner of securities which have been delivered to the Company and that they have not been pledged with any person nor submitted as security with any individual, nor have they been subjected to any sale agreement with any person or to any obligations and that such securities are genuine. If any course of event should transpire whereby the Company is unable, as agent and/or broker, to assign or deliver the securities to a purchaser, the Client agrees to immediately indemnify the Company in full for any damages on receipt of notice from the Company.

10. If the Client fails to pay the price of his/her purchase of securities and/or fees and/or commission and/or duties and taxes and/or any other expenses and/or damages including other debts which have been incurred as the result of this Agreement, s/he agrees to pay interests to the Company, as from the date of default until full discharge of payment, at the maximum rate as published by the Company under the Ministry of Finance's Notification re: Interest rates which may be charged by financial institutions from borrowers which, at the date of execution of this Agreement, is equivalent to % per annum which, however, may be revised as will be published by the Company from time to time and hereafter referred to as "Maximum rates".

11. The Client agrees to have it construed that the Company may, for such purchase/sale of securities pursuant with his/her orders, make the purchase or sale with the Company's own portfolio and/or in its concurrent position as an agent and/or broker for another entity.

12. The Client acknowledges such criteria which govern securities trading in the Stock Exchange that every price offered in securities trading are those, unless prescribed otherwise by the Stock Exchange, which include dividends, bonus, profit-sharing, fruits thereof, rights to subscribe to new shares or any other rights available as the result of holding such securities given by the securities issuer(s) or given prior to the assignment of title.

The Client acknowledges and agrees to be bound, unless prescribed otherwise by the Stock Exchange, by the commitment not to make any demand for dividends, bonus, profit-sharing, fruits, rights to subscribe to new shares or any other rights available as the result of holding such securities given by the securities issuer(s) or given prior to the assignment of title if the assignment of such securities were not duly registered with the pertinent Registrar in time before the closure of registry books for the purpose of payment of dividends, bonus, profit-sharing, fruits or such rights.

13. The Client hereby assigns his/her authority to the Company to take any action as stated in this Agreement and to be duly vested with the full authority to undertake any of the following action on his/her behalf:

13.1 Purchase, sell, assign, accept the assignment as well as subscribe to every category and kind of securities traded both in and out of the Stock Exchange at the price and number for which his/her order has been issued to the Company in the manner required by the Company and/or in accordance with any rights due as well as to accept or deliver or to hold such securities.

13.2 The Company may, without prior notice and at its discretion, lend or allow other persons to borrow the Client's securities which the Company has purchased or is holding on his/her behalf.

13.3 Make payment for the price of purchase for securities, fees and/or commissions and/or duties and Taxes and/or duty stamps and/or any and all expenses concerning securities transaction or disposal, claims, demands and acceptance of payment for the sale of securities including payment of dividends, bonus, profit-sharing, interest, fruits, rights to subscribe to new shares or any other rights available as the result of holding such securities to be given or already given by securities-issuer prior(s) to their assignment. In this respect, the Client agrees that the Company is also authorized to make such payment to itself.

13.4 Use the proceeds of sale of securities and/or the fruits thereof under 13.3 to repay the Client's debts and/or bring securities which have been purchased or for which their assignment have been accepted pursuant with the Client's order including securities, promissory note(s), or instrument of entitlement or other of the Client's properties deposited with, handed over or assigned into the Company's custody on the Client's behalf, and/or the fruits of such securities for use as security against repayment of debts by means of pledge of placement as collateral/security against repayment of every kind and category of debts which the Client has towards the Company or which are owed to a third party by the Company, as well as allowing the Company to endorse promissory note(s), instrument(s) of entitlement or any property, or to sign in any deed(s) or document(s) in order to pledge or place as security such promissory note(s), deed(s) of rights, securities or any property on the Client's behalf.

13.5 As The Client's agent, to assign or dispose of securities which have been purchased and/or assigned and/or which are held on his/her behalf which have been so received in order to repay his/her debts.

13.6 To use the rights of securities held under the Company's possession which have given the Client the right to attend meeting(s) of shareholders and/or those of securities holders by attending the meeting and voting on the Client's behalf in every circumstances.

Sign Client

13.7 To accept the assignment of securities or any right which have arisen as the result of the Company's holding securities in lieu by using the Company's name instead.

13.8 To appoint and remove a sub-agent/broker to act on any matter for which it has been assigned the authority in which respect such sub-agent/broker will have the same authority as the Company as stated herein.

13.9 To carry out any act for which it is assigned the authority in the Client's name or in the Company's name or as a third party's agent.

13.10 To do and/or sign in any relevant and necessary deed, document of instrument in order to implement the objectives of this assignment of authority.

13.11 To do any other act(s) which is appropriate or necessary in order to implement the objectives so assigned by this Agreement.

13.12 In the case of the Client's death or bankruptcy or incapacitation, the Client agrees that the Company may take whatever action in order to protect the Client's interests of those of the Company in which connection the Company may sell all or parts of securities at once in order to use the proceeds thereof to repay the Client's outstanding debts to the Company.

14. The Client agrees to place security/collateral as guarantee of his/her payment for the purchase of securities and/or as security against repayment of his/her debts under this Agreement and/or any other debts which s/he has with the Company in accordance with the following particulars:

14.1 The Client agrees to have it construed that all of his/her securities which have been purchased constitute security/collateral against repayment of debts under this Agreement and/or any other debts which s/he owes the Company.

14.2 In a case where the Client is entitled to subscribe to securities and has already paid for such subscription, the Client agrees to have it construed that securities received as the result of such subscription constitute security/collateral against debts repayment to the Company in the same way as securities purchased as stated in 14.1

15. The Client agrees to entrust the Company with the task of keeping and looking after money and/or securities and/or properties placed or delivered to the Company in whatever circumstances, and/or those which have been purchased by the Company pursuant with the Client's instruction and/or purchased in the Client's interests pursuant with this Agreement as well as any rights and benefits arising out of such money and/or securities and/or property, for the purpose of their custody and/or for the purpose of transaction, sale, borrowing or lending of securities and/or as security/collateral for any purchase, sale, borrowing or lending of securities and/or for any other interests in which respect the Client agrees to be bound and gives his/her covenants to the Company as follows:

15.1 The Client agrees to give the Company the right of retention and/or to place as security/collateral or pledge as collateral such various securities purchased by the Company pursuant with the Client's order(s) and/or those which have been purchased in the Client's interest pursuant with this Agreement which shall include securities, promissory note(s), instrument(s) of entitlement or any other of the Client's property which have been placed in the Company's custody or handed into its possession and care for whatever reason so that they may be kept as collateral against repayment of all of the Client's debts towards the Company under this Agreement, and/or any and all kind and category of debts, notwithstanding if each of such securities, promissory notes, instrument(s) of entitlement or properties may constitute security/collateral specifically for debts incurred by a purchase in any specific transaction only. The client further agrees that the Company may use such securities, promissory notes, instruments of entitlement or property as security/collateral or pledge them to provide security/collateral for the Client's debts and/or those of the Company to a third party as well. In this respect, the Company has the right to retain and/or to use such securities, promissory notes, instruments of entitlement or property for placement of security/collateral or to subject them to a pledge until such times that it has received full discharge of the debts.

In cases of depositary, delivery, assignment to hold custody for the purpose of taking care or retain securities as collateral as above stated, the Client agrees that the Company may, as far as it deems expedient, deposit or assign the custody of such securities to a third party as prescribed by S.E.C. pursuant with such rules to be regulated in this respect. And, even though the Company may have deposited either all or parts of such securities or assigned their custody to a third party, it shall be deemed regardless that the Company continues to hold the right of retention of such securities as security/collateral against the Client's debt repayment.

15.2 The Client agrees and acknowledges that, in a case where s/he has assigned the Company to take care and custody of his/her money, the Company may use such funds to generate benefits specifically for the Client and/or for the Client in conjunction with the Company's other clients, either in one or several ways, namely:

- (1) deposit funds with commercial bank(s) or other bank(s) incorporated by specific laws.
- (2) make an investment in promissory note(s) issued by finance or securities company(ies) for securities businesses.
- (3) make an investment in any other form as prescribed by the S.E.C.

Sign Client

The Client agrees and gives his/her consent, as far as investment under (1) through to (3) above are concerned, that the Company may also deposit the money and/or to make an investment with parties related either directly or indirectly to the Company as its head office, subsidiary or joint company as prescribed by Notification of the Securities and Exchange Commission re: criteria, terms and procedure governing disclosure of information concerning financial status and operating results by securities issuing company(ies), And, it shall be construed that the various benefits derived by the Company as the result of using the Client's funds to generate such profits, hereafter to be referred to as "compensatory returns", shall fall under the Company's ownership and the Client will receive compensation for his/her money in the manner to be narrated in 15.3

15.3 The Client agrees and accepts that, where s/he has assigned the Company with the duty to keep custody and take care of his/her money, s/he will receive payment of compensation for his/her money from the net outstanding balance after deduction of the price of payment for securities and/or fees and/or commission and/or duties and taxes and/or any other expenses at the rates prescribed by the Company which may be revised as the Company deems fit, and hereafter referred to as "payment of compensation" which will be computed on a daily basis. The Company may, in this respect, set up a schedule for such payment of compensation and/or change the schedule as it deems fit without any prior notice.

However, payment of compensation shall not exceed those of compensatory returns. And, if the Company receives compensatory return which are less than payment of compensation, the Client agrees to receive his/her payment of the above compensation in a sum which is equivalent to those of compensatory returns less expenses and/or duties and taxes and/or any other expenses, in lieu of the payment of compensation.

15.4 Fees may be charged by the Company for its custody and care of the Client's money and/or securities and/or any other property at such rates and in the manner prescribed by the Company with at least 7 days advance notice. The Company may make a deduction of such fees immediately out of payment of compensation under 15.3 without having to receive any further consent from Client.

However, the Company may revise and adjust the rates of fees and/or the manner of collection of such fees by merely giving the Client notice thereof prior to making pertinent adjustment(s).

15.5 The Client hereby undertakes and gives his/her covenants that, before entering in to this Agreement, the Company has given him/her due notification such that the Client now has a good understanding of the following matters:

(1) The procedure to be adhered to by the Client in depositing or withdrawing funds and/or other of his/her property with or from the Company; the Company's procedure in looking after the Client's money and/or securities and/or other properties as well as fees for such custody of the Client's money and/or securities and/or properties.

(2) The Client's money will not be subjected to rules and terms governing protection provided by the Funds for the Rehabilitation and Development of Financial Institutions such that funds from the said Funds will not be provided as guarantee for repayment of either the Client's principal or interest in any way.

15.6 The Company has the right to take whatever action with the Client's money and/or securities and/or other properties which are necessary to ensure that the Client will receive benefits to be derived as the result of his/her ownership of any securities or instruments from their issuer(s) within a reasonable time.

15.7 The Company will send a report on the Client's property to the Client on a monthly basis unless there is no transaction in any month which causes no movement or change in the properties which have been assigned into the Company's custody under this Agreement in which case the Company may not send a report for that particular month. And, where there are no transactions in that manner for 6 consecutive months or more, the Company may send a property report to the Client on a six-monthly basis in lieu.

16 The Client undertakes and confirms hereby that s/he has a good knowledge and understanding of the nature and risks of investment in securities which are traded in and out of the Stock Exchange.

The Client is fully aware that there would be risks incurred as the result of change in the value of securities or collateral which may cause him/her to suffer a loss or be required by the Company and/or the Stock Exchange and/or the S.E.C. to place additional collateral. Such risk include the nature and particulars of warrants on derivative rights which are traded in the Stock Exchange as well as the condition that such derivative warrants may not be further traded after their maturity. Furthermore, the Client is fully aware that additional information concerning derivative warrants may be obtained from the Stock Exchange or a securities company or the securities-issuer(s).

Furthermore, the Client is fully aware that investment and transactions of derivative warrants contain inherent risks in a variety of ways such as risks from changes in the prices of securities used as referenced assets which may have an impact on the price of derivative warrants (Price Risk); risks from the inability of securities issuer(s) to comply with the terms of the derivative warrants when a buyer wishes to exercise its rights (Credit Risk); risks from the possibility of not being able to sell derivative warrants at a suitable price as the result of illiquidity (Liquidity Risk); risks from limitations concerning the duration of the derivative warrants whereby the buyer may not be able to exercise its rights or to sell them before their expiration dates

Sign Client

(Expiry Risk) and so forth. The Client shall, therefore, make a cautious and well thought-out decision as far as possible when making investment in derivative warrants and shall exclusively accept the consequences which have arisen in every aspect.

17. The Client gives his/her covenant hereby that if s/he incurs any damage in the course of the Company's performance as an agent/broker for securities trading as assigned by this Agreement including any event whereby the Company has exercised any of its rights as given by this Agreement or the laws, s/he will not make any claim or enter any action in court against the Company to make it bear responsibility in any way. The Client further undertakes not to carry out or omit any action or allows another person to take or omit any action which should be taken in relation to securities transaction already undertaken by the Company, as his/her agent or broker, to the extent which would cause the Company and/or its director(s), staff member(s) or employee(s) and/or any other person to be fined, penalized, held liable or suffered damages pursuant with the Stock Exchange Rules governing price payment and delivery of securities and in any other matter in which it is the Company's duty to undertake in accordance with rules, regulations and orders prescribed or issued by S.E.C., the Ministry of Finance, work entity, organization or board/commission of the authorities or any other duly authorized boards/committees of the private sector/ In this respect, the Client agrees to bear the responsibility to indemnify such damages to the Company and/or such entity(ies) in full immediately on demand and at such rates as considered appropriate by the Company or at the maximum rates which have been fined or may be fined by the Stock Exchange and/or S.E.C. and/or the Ministry of Finance, work entity, organization or board/commission of the authorities or any other duly authorities or any other duly authorized boards/committees of the private sector, whatever the case may be.

18. For the purpose of this Agreement's implementation, the Client agrees to assign the Company with the Client agrees to assign the Company with the right to subscribe to or to accept the assignment of securities, notwithstanding if there has been an increase of capital, issuance of additional securities or any other events concerning securities held in the Company's possession on the Client's behalf when the Client has been given due notification by the Company and has given his/her order accordingly except when the Client has any outstanding debts payable to the Company in which case s/he agrees that the Company may exercise such rights on his/her behalf without having to receive his/her instruction. The Client shall, in this respect, pay for the price of securities, commissions, duties/taxes, fees and other expenses incurred as the result of such acts, to the Company within the deadline set by the Company. And, all the various terms and provisions in this Agreement shall be applicable on securities acquired in such circumstances as well. Furthermore, if the Client fails to make such payment, it shall be construed that the Client is indebted to the Company and shall pay interests at the maximum rate as from the date of due payment until full discharge of payment to the Company.

19. This Agreement will remain in full force and effect throughout such times unless terminated by either party. Where the Agreement is terminated by the Client, s/he shall discharge all various outstanding debts which remain payable to the Company with interests (if any), as well as any damage or various expenses to the Company in full before s/he will be entitled to terminate the Agreement. Moreover, an advance written notice of at least 15 days is also required to be given to the Company.

20. The Company may, as it deems fit, immediately terminate this Agreement and the Client shall pay all the various debts which remain outstanding and any damages or expenses to the Company within the deadline set by the Company. If the Client fails to make such payment within the deadline or breaches any term of agreement, the Company may charge interests at the maximum rates until the Client has discharged full payment. This, however, will not preclude the Company from terminating this Agreement as well.

21. In the case where any term or provision of this Agreement comes into conflict with the law or is unenforceable in accordance with the law, facts or any circumstances or becomes void by force of law, both contractual parties agree that the remaining parts of the Agreement which remain valid shall continue to be applicable in full force and effect.

22. Failure by the Company to exercise any of its rights under any circumstances, be they to make a call on debt repayment or any other acts to which it is entitled to do under this Agreement shall not be construed as the Company's waiver of its right to exercise such right in every other circumstance.

23. If any of the following event transpire:

23.1 The Company assigns its rights, duties, liability, any benefits under this Agreement including any security/collateral placed

23.2 There is any change/revisions in the law, notifications, rules/regulations and orders of any authorized authority, or there is any reason which causes such rights, duties liability benefits under this Agreement including any security/collateral given, to fall under the jurisdiction of another entity, and/or if the Company has to make the assignment of such rights, duties, liability, benefits including security/collateral to any other entity, and/or if any action has to be taken by the Company in order to comply with the said laws, notifications, rules/regulations, order;

Sign Client

The Client agrees, in such events, that the Company may make the assignment of its rights, duties, liability, any benefit including security, and further agrees to be bound by the terms and conditions of this Agreement and/or in accordance with those action taken with the Company and/or any of such entity(ies) in every respect without raising any challenge or objection provided that due notification of such is given to the Client in writing.

24. All contacts, correspondences and/or various notices to be remitted or sent to the Client may be made in a number of ways, such as by telephone, cable, telex, facsimile, mail either registered or unregistered, or by messenger. And, if the Company has already made a call to the Client's above telephone number, it shall be deemed that such notice has been duly sent to the Client notwithstanding if the Client has received it personally or not or if there has been any recipient for it or not as well. And, even if the Client fails to be notified because such notice cannot be sent as the result of a change in the number of the telephone, telex or facsimile, or if the address has been changed or the premises demolished/re-located and the Client has failed to give the Company a written notification of such change or re-location/demolition, or if the Company's notice cannot be served because the location as specified by the above address cannot be located, it shall be deemed that the Client has duly acknowledged such contact or the Company's correspondence or notice and the Client shall take responsibility for actions undertaken by the Company pursuant with the objectives of the power so assigned in every respect.

25. For securities purchases, the Client agrees to pay for the price of securities s/he has ordered the Company to purchase in accordance with the number of securities purchased on each occasion notwithstanding if they have been purchased in the full number as ordered by the Client or not. Such payment shall also include payment of fees and/or commissions and/or duties and taxes and/or any other of the said expenses as stated in 2, to the schedule prescribed by the Stock Exchange and/or the Company (which is currently within 3 business days following the date of purchase). Such schedule, however, may be changed as notified by the Stock Exchange and/or the Company from time to time without having to give the Client any prior notice. And, if the Client makes a payment by cheque, it shall be deemed that the Client has duly paid for the purchase of such securities when the said cheque has been honoured for payment.

In making purchase(s) of securities on the Client's behalf, the Company may require that the Client pay cash for the remaining sum (if any) to the Company before making the order to purchase each or some or every item of transaction at the rates and in the manner prescribed by the Company which must be paid in full within the period stated in the preceding paragraph.

If the Client fails to make his/her payment within the said schedule as stated in the preceding paragraph, it shall be deemed that the Client is indebted to the Company and must pay interests to the company at the maximum rate as specified in Clause 10 as from such due date until full discharge of payment. And, the Client agrees that the Company may sell the said securities on the next business day following the said due date, or on whichever date thereafter at the Company's discretion, without having to give him/her any prior notice in order to use the proceeds derived from such sale after deduction of fees and/or commission and/or duties/taxes and/or any other of such expenses as stated in Clause 2 to repay the outstanding debts including any damages incurred as the result of the purchase of such securities to the Company in full in every respect. The Client agrees not to raise any challenge or claim in any way because if the proceeds derived out of such sale are not sufficient to repay all of the outstanding debts, the Client agrees to indemnify the shortfall including interests to be accrued to the Company in full.

26. As for sale of securities, the Client shall hand over securities including documentation or deed of assignment which have been properly executed and signed and other necessary and related documents to the Company in advance before the order is placed with the Company to sell them. In the case where the Client has given the Company an order to sell securities without having such securities deposited with the Company, the Client must deliver the securities s/he has assigned the Company to sell to the Company together with the various documents within the deadline prescribed by the Stock Exchange and/or the Company. Such deadline, however, may be changed from time to time as announced by the Stock Exchange and/or the Company without having to give the Client any prior notice. The Company will, thereafter, hand over the proceeds derived out of the sale of such securities, less fees and/or commission and/or duties and taxes and/or any other expenses as stipulated in Clause 2 to the Client within the deadline set by the Stock Exchange and/or the Company. Such deadline, however, may be changed from time to time as announced by the Stock Exchange and/or the Company without prior notice. Payment will be made by crossed cheque payable into the Client's account only, or by means of transfer of funds into the Client's account of deposit or by any other methods as prescribed by the Stock Exchange and/or the Company.

If the Client fails to hand over the securities s/he has assigned the Company to sell within the deadline as stipulated in the preceding paragraph, s/he agrees hereby that the Company may proceed to purchase such securities on the next business day following the lapse of the due date or thereafter as the Company deems fit without having to give any prior notice which will be handed over in lieu of the said securities which the Client has assigned the company to sell. In this respect, the Client

Sign Client

agrees to indemnify all damages incurred by the Company as the result of the sale of such securities and it shall be construed that the Client is indebted to the Company and agrees to pay interests on such debts as from the date the Company has incurred damages thereon until full discharge of payment. Moreover, in the event securities which the Company has purchased for delivery in lieu to a buyer as aforementioned have been purchased at a price which is lower than that for which the Company has sold them pursuant with the Client's order, the Client agrees to let the Company retain all of the balance in order to pay any fines or damages for which the Client must indemnify the Company. This, however, will not preclude the Company from exercising its right to claim any other fines or damages under this Agreement in full from the Client.

In a case where the Client assigns the Company to sell securities s/he has ordered the Company to purchase for which their purchase prices have not as yet been paid for, when such securities are sold as instructed, the Client must first pay for their purchase prices under Clause 25 to the Company in full before s/he will be given the proceeds of such sale. In this instance, the Client may not, by any means, set-off such debts with the Company.

27. Subject to the last paragraph of Clause 26, the Client agrees that the Company will have the right to set-off every category of the Client's debts with the money, rights of claims, securities or any other of the Client's securities retained or held by the Company on the Client's behalf, as well as the fruits or dividends thereof which include the right to sell off such securities in the Stock Exchange and/or to sell them off in and auction and/or in any current and/or contingent transaction with any other entity(ies) notwithstanding if such debts are due for repayment or not and with out having to give the Client any prior notice.

28. For the purpose of preventing or ceasing unfair acts or improper investments or transactions, an intermediary shall include the following conditions in the agreement with a client for providing services as broker of listed securities:

28.1 the client agrees to notify and provide the following information, and clarify such information in detail [i] to the intermediary, the Stock Exchange of Thailand, the Securities Depository, the securities registrar, the clearing house or the SEC Office upon request, or [ii] according to the rules as specified by those organizations:

(a) identifiable information manifesting the client and all persons who are the beneficial owners of listed securities trading regardless of directly or indirectly;

(b) the purposes of securities trading;

(c) the [securities] trading information of the client;

(d) the information specified under (a), (b) and (c) of direct or indirect individual account of an omnibus account to the extent that the client knows or should have known as a result of holding such omnibus account.

28.2 the client gives consent to the intermediary for temporarily suspending services as broker of listed securities, closing a trading account, limiting trading volume, or performing any other act relating to listed securities trading of the client in order to comply with the order of the Stock Exchange of Thailand, the Securities Depository, the securities registrar, the clearing house or the SEC Office in cases where it appears the following facts:

(a) listed securities trading by the client has or may have an impact on the orderly trading of listed securities, or has caused or may cause the trading price of the listed securities to deviate from normal market conditions;

(b) the client-s listed securities trading behavior is inappropriate or may contravene the law on securities and exchange ;

(c) the client fails to notify, provide information or prepare clarification under subclause 1.1 or provides false or materially misleading information.

Both contractual parties have read through and understood the contents of this Agreement thoroughly and, seeing that they represent their intention in every respect have, therefore signed their names in evidence thereof.

Signature Client
(.....)

Signature Company (Globlex Securities Company Limited)
(.....)

Signature Witness
(.....)

Signature Witness
(.....)

Appendix for Agreement for the Appointment of Agent and/or Broker for Securities Trading

Type of Account Cash Cash Balance (Identify Type of Account)

This Appendix for Agreement for the Appointment of Agent and/or Broker for Securities Trading (the "Appendix") is made onbetween Globlex Securities Company Limited whose head office is located at 87/2 CRC Tower ,All Seasons Place 8th ,12thFloor Wireless Road, Lumpini, Pathumwan, Bangkok 10330 (the "Company") and.....(the "Client")
Whereas the Client is desirous to appoint the Company as its agent and/or broker to execute Securities trading transactions on type of account Cash / Cash Balance(.....) And the Client desire to instruct the trading directly through the system of internet service provider (ISP) of the Company.

Conditions

1. General provision
 - (1) The Appendix is an integral part of Agreement for the Appointment of Agent and/or Broker for Securities Trading
 - (2) In the case of any contradictory between the Appendix and the Agreement for the Appointment of Agent and/or Broker for Securities Trading, the parties agree to comply with the Appendix.
2. "User ID" and "Password"
 - (1) The Client shall keep "User ID" and "Password" for access to the Internet Trading system and all the transactions proceeded via the system in confidential. In any case where the Client's "User ID" and/or "Password" is used, the Client shall be liable for and fully indemnify the Company against any and all damages incurred
 - (2) The Client shall not use any instruction system other than that of the Company which is connected to the Client's computer using for the Securities trading transaction and shall prevent any third party from connecting its computer to the Client's computer which may enable such third party to give its Securities trading instructions via the Company's computer system.
3. Instruction and Confirmation
 - (1) Any instruction shall be effective upon the Company' receipt. In the case where the Client wishes to cancel any instruction , the Client shall notify the Company immediately. The cancellation shall, by no means, affect any action undertaken by the Company in accordance with the Client's instruction. The Client shall not be entitled to claim for any damages or expenses incurred .
 - (2) The Company agrees that a Securities trading instruction can be made via computer system by the Client or persons assigned by the Client. Upon the Company's receipt of the Client's explicit instruction, such instruction shall be deemed to have been duly given without any further investigation upon the instruction.
 - (3) The Client may revoke or cancel Securities trading instruction that made via computer system of the Company before the confirmation.
 - (4) The Company will confirm the Securities trading instruction proceeded in accordance with such instruction to the Client via the computer system or by any other convenient mean.
 - (5) The Client, at any time, purchase or sell the Securities provided that it does not exceed the credit line prescribed by the Company and the Client shall not be entitled to claim for any damages or expenses incurred.
 - (6) The Client agrees to sell only the Securities that remain in the account.
 - (7) The Client acknowledges that there are potential risks and damages which may be incurred from Securities trading such as malfunction of the equipment or computer system of the Client or the Company or SET which may affect the transmission or receipt of the Securities trading instruction via Internet Trading system ; other risks and damages which are not caused by the Company's fault e.g. delay or loss of information during transmission, mistakes and inaccuracy of information due to the technological

restriction on Internet Trading system, including mistakes on the part of the Client itself. The Client shall not be entitled to claim for any damages or expenses incurred and shall be responsible for all the risks.

(8) The Client agrees and acknowledges the laws, rules and regulations of SET and shall comply with such laws, rules and regulations of SET in the Securities trading instruction via the computer system. In the event that the Client's Securities trading instruction via the computer system violates or does not comply with the rules of SET, the Client shall be responsible for the fines and any expense incurred from the violation

(9) If any of the Client's instruction does not comply with any laws, rules and regulations of SET and receives the warning notification from SET. The Company shall not be bound to comply with such instruction and the Client shall not be entitled to claim for any damages or expenses incurred.

4 Risk Disclosure

(1) The Client has studied and acknowledges all the rules and procedures prescribed by the company in relation to Securities trading via Internet Trading system and, therefore, accepts all the risks that may be incurred from such trading.

(2) The Client agrees that the Company shall have no obligation to compensate for any loss and/or damage of the Client incurred from fire, storm, force majeure, strike, riot, war protest, coup d'etat, malfunction of the communication network system or communication network of the Company, the government's restriction or prohibition both domestic and international, malfunction of appliance, out of electric power or any occurrence that causes loss and damage, Securities Exchange Trade halt both in Thailand and other country, any occurrence that affect the Company's business.

5. The Company may amend any provision in the Appendix without any prior consent from the Client. The Client agrees to comply with the amended provisions on the date the Company posts the notice at Securities Trading rooms at both its head office and branches.

The parties have thoroughly read and understood all the contents herein and confirm the conformity with their intention in all respects and hereby affix their signatures as evidence hereof.

Signature Client

(.....)

Signature Company

(Globlex Securities Company Limited)

Signature Witness

(.....)

Signature Witness

(.....)

Fund Transfer Application Form (ATS)

Date...../...../.....

To Manager of Bank.....Branch.....

I.....The owner of the Account

Account Type Saving Current Account no.

Contract Address.....Telephone No.....

Hereby wish to use the Bank's service to debit my account, for the account name "Globlex Securities Co.Ltd.," (The Company), with the transferred amount stated vie invoice. Computer media, Diskette/Tape or any wireless information by The Company and/or Agent and/or the other person appointed by the Company and to credit the said amounts into the bank account of the company. I agree to be responsible for any claims or damages arise as a result of the correctness or accuracy of the information. The Bank shall liable only for the transfer fund not exceed in any case the extent to which amount may be allowed under my account. The deduction shall not be any confirmation. The Bank shall be liable only for the transfer fund not exceed in any case the extent to which amount may be allowed under my account. The deduction shall not be any confirmation.

I acknowledge that I understand and agree to abide by the terms and conditions applicable to the above accounts. all changes or revisions to the terms and conditions of the above accounts will be effective immediately. This application will effect until I notify to cancel 30 days in advance.

..... Signature of Applicant

(.....)

For Company Use Only

Globlex Securities Co.Ltd.,
has verified Name of Account Number

.....Signed

(.....)

Date...../...../.....

For Bank Use Only

Company Code.....

.....The Account has been verified and approved

.....Unable to verify and approve reason

..... Account Closed

..... Incorrect Signature

..... Incorrect A/C No

.....Others

..... Name

(.....)

Date...../...../.....

Signature Card

Date...../...../.....

Name.....Phone.....

Address.....

All legal documents shall be completed by me/any one/two person(s) being authorized signatory (ies) whose name(s) and signature (s) appeared below.

Name	Signature

Verify By..... Date

.....

Signature Card

Date...../...../.....

Name.....Phone.....

Address.....

All legal documents shall be completed by me/any one/two person(s) being authorized signatory (ies) whose name(s) and signature (s) appeared below.

Name	Signature

Verify By..... Date